OREGON COLLEGE SAVINGS PLAN™

Investment Change Form

Need help?
Give us a call Monday – Friday from 6am – 5pm PT at **1-866-772-8464** or **1-844-888-2253 (TTY)**

Mail the form to:
Oregon College Savings Plan
P.O. Box 9651
Providence, RI 02940-9651

Overnight Mail:
Oregon College Savings Plan
4400 Computer Drive
Westborough, MA 01581

Important information about this form:

- Fill out this form to make changes to your investment strategy in your Oregon College Savings Plan account.
- You can make changes to your investment strategy up to twice per calendar year, or when you change the Beneficiary of the account to a qualified "Member of the Family."
- An investment change to or from an account in another Plan in the network for the same Beneficiary will be considered a change among investment portfolios for the purposes of this restriction.
- All investment strategy changes submitted at the same time would be counted as one strategy change.
- When you transfer money FROM an investment option, there's a $5 withdrawal minimum per portfolio. Unless you decide to withdraw all the funds from a portfolio, you can withdraw up to 90% of the portfolio's balance or leave a balance of at least $5.
- When you transfer money TO an investment option, there's a $5 contribution minimum to each separate portfolio you select.
- It can take up to 10 business days for the investment change to process.
- A Medallion Signature Guarantee is required for an Entity account or an account for which the individual completing the form is acting in a legal capacity as a representative of the Account Owner (**Step 4**).
- Make sure you use black ink. Type or print clearly in capital letters.

1 Oregon College Savings Plan account information

____
Name of Account Owner (First and last)

___ ___ ___ – ___ ___ – ___ ___ ___ ___
Account Owner's Social Security or Taxpayer Identification Number

OS ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___
Oregon College Savings Plan account number
(for account numbers less than 11-digits, please use leading zeros)

2 Investment change instructions

These changes apply to the assets currently in your account; it will not change your allocation instructions for future contributions. In the “Reallocate funds FROM” section, please indicate the investment option with the corresponding dollar amount from which you are reallocating assets. In the “Reallocate funds TO” section, please indicate the investment option with the corresponding amount to which you are reallocating assets. If you’d like to complete reallocations from more than 3 portfolios, please submit multiple copies of this page of this form. All reallocations will be processed together and will only count as one investment change.

Please refer to the **Plan Disclosure Booklet** for a list of available investment options.

Please clearly print the portfolio name, code and amount you’d like to contribute below. Reference the **Portfolio Options Appendix** at the end of this form for a list of all portfolio names and codes.

Reallocation #1

A **Move funds FROM the following option:**

___ ___ ___ ___ ___ ________________________________

Code Portfolio name

Select one:

◯ Partial amount

$ ___ ___ ___ , ___ ___ ___ . ___ ___

◯ Liquidate this portfolio in full

B **Move funds TO the following option:**

___ ___ ___ ___ ___	________________________________	___ ___ ___ %
Code	Portfolio name	Percent
___ ___ ___ ___ ___	________________________________	___ ___ ___ %
Code	Portfolio name	Percent
___ ___ ___ ___ ___	________________________________	___ ___ ___ %
Code	Portfolio name	Percent

Total = 100%

Reallocation #2

A Move funds FROM the following option:

___ ___ ___ ___ ___ ____

Code Portfolio name

Select one:

◯ Partial amount

$ ___ ___ ___ , ___ ___ ___ . ___ ___

◯ Liquidate this portfolio in full

B Move funds TO the following option:

Code	Portfolio name	Percent
___ ___ ___ ___ ___	________________________	___ ___ ___ %
___ ___ ___ ___ ___	________________________	___ ___ ___ %
___ ___ ___ ___ ___	________________________	___ ___ ___ %

Total = 100%

Reallocation #3

A Move funds FROM the following option:

___ ___ ___ ___ ___ ________________________________
Code Portfolio name

Select one:

○ Partial amount

$ ___ ___ ___ , ___ ___ ___ . ___ ___

○ Liquidate this portfolio in full

B Move funds TO the following option:

___ ___ ___ ___ ___ ________________________________ ___ ___ ___ %
Code Portfolio name Percent

___ ___ ___ ___ ___ ________________________________ ___ ___ ___ %
Code Portfolio name Percent

___ ___ ___ ___ ___ ________________________________ ___ ___ ___ %
Code Portfolio name Percent

Total = 100%

3 Sign the form

By signing this form, I authorize the investment change of funds from my current investment portfolio(s) in **Step 2** to the investment portfolio(s) as indicated. I certify and understand the following:

- An investment change of funds among investment portfolios for my account can only be requested twice per calendar year.
- I authorize the investment change of assets in my account per my instructions in **Step 2**.
- If I am making contributions by payroll deduction or monthly transfer, I understand that those recurring contributions will continue into my previously designated investment portfolio(s) unless updated by me.
- I understand that if I set up an online gifting page, gift contributions made to this account will continue being made to the investment portfolio I designated when setting up the page, unless updated by me.
- I understand that this investment change of funds will become effective upon the Plan's receipt of this form in good order.
- I certify that I am the Account Owner, or can provide a Medallion Signature Guarantee to have the authority to act as the Account Owner.

Signature of Account Owner/Custodian/Authorized Representative of Entity	**Date** (mm/dd/yyyy)

4 A Medallion Signature Guarantee

Only complete this step if you are acting on behalf of the Account Owner or you're an Entity Account Owner.

Keep in mind that:

- You're providing the following information as underwritten certification that your signature is genuine.
- You can get a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public doesn't qualify, and you cannot guarantee your own signature. You may be required to provide proof of your authority to act on behalf of the Oregon College account.
- **Only sign if you are in the presence of an authorized officer providing the Medallion Signature Guarantee.**

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the **Plan Disclosure Booklet**.

Signature of Account Owner/Custodian/Authorized Representative of Entity

Signature Guarantor

Title

Name of Institution

Date (mm/dd/yyyy)

Have the Authorized Officer stamp here

Appendix – Oregon College Savings Plan Portfolio Options

For descriptions and details about all of these portfolio options, please go online to www.OregonCollegeSavings.com or see the **Plan Disclosure Booklet** for important information including descriptions, details, and risks about the investment options before making a decision.

College Enrollment Year

Code	Portfolio Name
ORC37	Enrollment Year 2037
ORC36	Enrollment Year 2036
ORC35	Enrollment Year 2035
ORC34	Enrollment Year 2034
ORC33	Enrollment Year 2033
ORC32	Enrollment Year 2032
ORC31	Enrollment Year 2031
ORC30	Enrollment Year 2030
ORC29	Enrollment Year 2029
ORC28	Enrollment Year 2028
ORC27	Enrollment Year 2027
ORC26	Enrollment Year 2026
ORC25	Enrollment Year 2025
ORC24	Enrollment Year 2024
ORC23	Enrollment Year 2023
ORC22	Enrollment Year 2022
ORC21	Enrollment Year 2021
ORC20	Enrollment Year 2020
ORC19	Enrollment Year 2019
ORC18	Enrollment Year 2018
ORC17	Enrollment Year 2017
ORC16	Enrollment Year 2016
ORC15	Enrollment Year 2015
ORC14	Enrollment Year 2014
ORC13	Enrollment Year 2013

Static Portfolios

Code	Portfolio Name
ORCCO	Target Risk – Conservative
ORCMO	Target Risk – Moderate
ORCAG	Target Risk – Aggressive
ORCDU	Diversified U.S. Equity
ORCDI	Diversified International Equity
ORCDF	Diversified Fixed Income
ORCIN	Diversified Inflation Protection
ORCBI	Balanced Index
ORCSC	Social Choice Balanced
ORCSF	Short-Term Fixed Income Index
ORCUE	U.S. Equity Index
ORCIE	International Equity Index
ORCFI	Fixed Income Index
ORCXX	FDIC-Insured Option (replacement for Principle Plus Interest)
ORCPI	Principal Plus Interest (You may only withdraw money from this portfolio, no money may be added to this portfolio.)

The investment information on this page has been provided by Sellwood Consulting, the investment advisor for the Oregon College Savings Plan. Before you make a decision, review the Plan Disclosure Booklet to learn about the important details and risks of each investment option.