



Authorization to Access Account Information Form

Important information about this form:

- Use this form to authorize your “Financial Advisor” (registered investment adviser, registered investment advisory firm and/or broker-dealer) to view certain information about your account and download account information (like statements and tax forms) through an online data inquiry tool provided by the Plan.
- This form will not allow your Financial Advisor to make changes to your account or enter account transactions on your behalf.
- A Medallion Signature Guarantee is required for an Entity account or an account for which the individual completing the form is acting in a legal capacity as a representative of the Account Owner (**Step 4**).
- Make sure you use black ink to type or print clearly in capital letters.

Need help?

Give us a call Monday – Friday
from 6am – 5pm PT at
1-866-772-8464 or
1-844-888-2253 (TTY)

Mail the form to:

Oregon College Savings Plan
P.O. Box 9651
Providence, RI 02940-9651

Overnight Mail:

Oregon College Savings Plan
4400 Computer Drive
Westborough, MA 01581

1 Oregon College Savings Plan account information

Name of Account Owner (First and last)

____ _ - ____ - ____ _
Account Owner’s Social Security or Taxpayer Identification Number

OS ____ _
Oregon College Savings Plan account number
(for account numbers less than 11-digits, please use leading zeros)

2 Financial Advisor information

This will allow your “Financial Advisor” (registered investment advisor, registered investment advisory firm and/or broker-dealer) to have limited access to your account, and/or to view certain information about your account, through an online data inquiry tool provided by the Plan.

Name of Financial Advisor (First and last)

Registered Investment Advisory Firm or Broker-Dealer name

____-____-____-____-____-____
Telephone number

Financial Advisor email

Street address 1

Street address 2

City

State

____-____-____-____-____
ZIP Code

Financial Advisor Identification

Financial Advisors must add the following information to gain access to the account.

This step should be completed by your Financial Advisor.

Dealer Number, FINRA CRD or State Issued License Number

Branch number (if applicable, otherwise leave blank)

Representative number or Last four digits of SSN

3 Sign the form

By signing below, I acknowledge and agree to the following:

- I authorize my Financial Advisor to view certain information about me and my account, including personally identifiable information, using a data inquiry tool provided by the Plan.
- The data inquiry tool provided by the Plan may give my Financial Advisor the ability to download my account information, but will not allow him/her to make changes to my account or enter account transaction requests on my behalf.
- I hereby agree to indemnify and hold harmless the Plan and the Plan's service providers from any losses that I, my Financial Advisor, the Plan, or the Plan's service providers incur as a result of acting on the authorization instructions provided in this form, including, but not limited to any losses that may result from inaccurate information about my account viewed through the use of the data inquiry tool provided by the Plan.
- This authorization remains in effect until I revoke it in writing and the revocation is received, in good order, by the Plan.
- I acknowledge and agree that this service is provided only as a convenience for brokers and advisors, the Plan and its service providers are not responsible or liable for any information provided through the data inquiry tool, and that this tool may change or no longer be available if the Plan changes data inquiry tools.

Signature of Account Owner/Custodian/Authorized Representative of Entity

Date (mm/dd/yyyy)

4 A Medallion Signature Guarantee

A Medallion Signature Guarantee is required for an Entity account or an account for which the individual completing the form is acting in a legal capacity as a representative of the Account Owner.

Keep in mind that:

- You're providing the following information as underwritten certification that your signature is genuine.
- You can get a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public doesn't qualify, and you cannot guarantee your own signature. You may be required to provide proof of your authority to act on behalf of the Oregon College Savings Plan account.
- Only sign if you are in the presence of an authorized officer providing the Medallion Signature Guarantee.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the **Plan Disclosure Booklet**.

Signature of Account Owner/Custodian/Authorized Representative of Entity

Signature Guarantor

Title

Name of Institution

Date (mm/dd/yyyy)

Have the Authorized Officer stamp here